

# AdS/CFT Beyond the Planar Limit

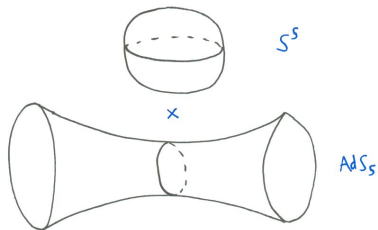
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Durham, October 2008

- ▶ Diagonal multi-matrix correlators and BPS operators in N=4 SYM (0711.0176 [hep-th]) TWB, Paul Heslop and Sanjaye Ramgoolam
- ▶ Permutations and the Loop (0801.2094 [hep-th]) TWB
- ▶ Diagonal free field matrix correlators, global symmetries and giant gravitons (0806.1911 [hep-th]) TWB, PJH, SR
- ▶ Forthcoming...

# IIB superstrings on $AdS_5 \times S^5$



$$\frac{1}{\alpha'} \int d\tau d\sigma [ \text{non-linear } \sigma\text{-model} ]$$

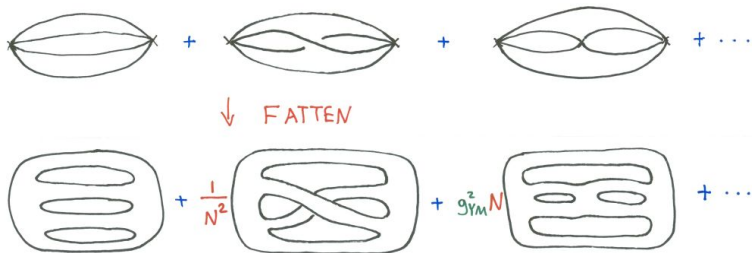
Perturbative expansion in the string coupling  $g_s$



# $\mathcal{N} = 4$ SUSY Yang-Mills: a Conformal Field Theory

$$\frac{N}{\lambda} \int d^4x \operatorname{tr} \left[ F_{\mu\nu} F^{\mu\nu} + D^\mu \phi_i D_\mu \phi_i - [\phi_i, \phi_j][\phi_i, \phi_j] \right. \\ \left. + \psi \sigma^\mu D_\mu \psi - \psi \phi \psi \right] + \theta \int d^4x \operatorname{tr} [F_{\mu\nu} \tilde{F}^{\mu\nu}]$$

Gauge group  $U(N)$ ; fields in adjoint. Compute correlation functions of gauge-invariant local operators.



# The AdS/CFT correspondence

$$\left\{ \begin{array}{l} \text{IIB superstrings on} \\ AdS_5 \times S^5 \end{array} \right\} = \left\{ \begin{array}{l} \mathcal{N} = 4 \text{ SUSY} \\ \text{Yang-Mills in 4d} \end{array} \right\}$$

$$\frac{R^2}{\alpha'} = \sqrt{\lambda}$$

$$g_s = \frac{\lambda}{N}$$

bosonic symmetries  $SO(2,4) \times SO(6)$  match

# The Planar Limit

AdS/CFT has been successfully studied in the **planar** limit.  
 $\lambda$  fixed,  $N \rightarrow \infty \Rightarrow g_s \rightarrow 0$ .

- ▶ **Single-trace** local operators in  $\mathcal{N} = 4$  SYM.
- ▶ **Classical** string theory in bulk for strict  $N \rightarrow \infty$  limit.
- ▶ Beautiful story of spinning strings, spin chains and integrability.



# Parameter space

$$g_s = \frac{1}{N}$$

$$g_s = \frac{\lambda}{N}$$



~ NON-PERTURBATIVE STRING THEORY ~



$$\lambda = g_{YM}^2 N$$

$$T \sim \frac{\sqrt{\lambda}}{R^2}$$

# General Programme

We want to study AdS/CFT at **finite N**.

- ▶ **Multi-trace** and **determinant**-type operators in CFT.
- ▶ Must deal with **Stringy Exclusion Principle**.
- ▶ Correlation functions involve complicated combinatorics.
- ▶ **Non-perturbative** quantum gravity effects in the bulk, giant graviton branes, black holes.

# Beasts of the field

In  $\mathcal{N} = 4$  super Yang-Mills the fields are

$$X, Y, Z, X^\dagger, Y^\dagger, Z^\dagger; \lambda_\alpha^A, \bar{\lambda}_{\dot{\alpha}}^A; F_{\mu\nu} \quad \text{plus derivatives} \quad D_\mu$$

Each field is in the **adjoint** of the gauge group  $U(N)$

$$(W_a)^i_j$$

$$i, j = 1, 2 \dots N.$$

$a$  runs over different fields.

To get gauge-invariant operators, usual route is to multiply these  $N \times N$  matrices together and take **traces**

$$: \text{tr}(XYX^\dagger) \text{tr}(YZ) \text{tr}(Y^\dagger) : = X_{i_2}^{i_1} Y_{i_3}^{i_2} X^\dagger_{i_1}^{i_3} Y_{i_5}^{i_4} Z_{i_4}^{i_5} Y^\dagger_{i_6}^{i_6}$$



## Stringy Exclusion Principle

For an  $N \times N$  matrix  $A$ , traces of powers bigger than  $N$  can always be written in terms of traces of powers  $\leq N$

$$\mathrm{tr}(A^{N+p}) = \# \mathrm{tr}(A^N) \mathrm{tr}(A^p) + \# \mathrm{tr}(A^{N-1}) \mathrm{tr}(A^p) \mathrm{tr}(A) + \dots$$

For example, if  $N = 2$  for the  $2 \times 2$  matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$

$$\mathrm{tr}(A^3) = \frac{3}{2} \mathrm{tr}(A^2) \mathrm{tr}(A) - \frac{1}{2} \mathrm{tr}(A) \mathrm{tr}(A) \mathrm{tr}(A)$$

So working with traces is problematic...

## Correlation functions

Wick contract with, e.g.,

$$\langle X_j^i(x) X_i^\dagger(y) \rangle = \delta_i^i \delta_j^j \frac{1}{(x-y)^2}$$

Even at tree level this gives a complicated  $\frac{1}{N}$  expansion

$$\langle \text{tr}(XXXX)[x] \text{tr}(X^\dagger X^\dagger X^\dagger X^\dagger)[y] \rangle = (4N^4 + 20N^2) \frac{1}{(x-y)^8}$$

$$\langle \text{tr}(XXXX)[x] \text{tr}(X^\dagger X^\dagger) \text{tr}(X^\dagger X^\dagger)[y] \rangle = (16N^3 + 8N) \frac{1}{(x-y)^8}$$

Mixing between different trace structures is only suppressed when the length  $n < N$ . [For giant graviton  $\Delta \sim N$ , black hole  $\Delta \sim N^2$ .]

# Outline of method

Solution: **group theory**.

Organise **multi-trace operators** of  $\mathcal{N} = 4$  SYM at **finite  $N$**  into reps of the **global** symmetry group and reps of the **local** gauge group (which will control multi-trace structure à la Wilson loop).

# Outline of method

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1. Start with  $n$  fields with none of their indices contracted

$$(W_{a_1})_{j_1}^{i_1} (W_{a_2})_{j_2}^{i_2} \cdots (W_{a_n})_{j_n}^{i_n}$$

2. Build into reps of  $G$  and  $U(N)$ .
3. Enforce gauge invariance.

## Technical slide 1/4: Example of $U(2)$

Take the fundamental representation  $V_F$  of  $U(2)$

$$V_F = \begin{pmatrix} X \\ Y \end{pmatrix}$$

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Take the fundamental representation  $V_F$  of  $U(2)$

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and then consider the simplest tensor product

$$V_F \otimes V_F$$

We can re-arrange into irreducible reps of  $U(2)$

$$\begin{pmatrix} X \\ Y \end{pmatrix} \otimes \begin{pmatrix} X \\ Y \end{pmatrix} = \begin{pmatrix} X \otimes X \\ X \otimes Y + Y \otimes X \\ Y \otimes Y \end{pmatrix} \oplus (X \otimes Y - Y \otimes X)$$

$$\square \otimes \square = \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array} \oplus \begin{array}{|c|} \hline \square \\ \hline \end{array}$$

## Technical slide 2/4

We hit a problem with three copies of the fundamental

$$V_F^{\otimes 3} = \begin{pmatrix} X \otimes X \otimes X \\ \vdots \end{pmatrix} \oplus \begin{pmatrix} X \otimes X \otimes Y - X \otimes Y \otimes X \\ \vdots \end{pmatrix} \\ \oplus \begin{pmatrix} X \otimes X \otimes Y - Y \otimes X \otimes X \\ \vdots \end{pmatrix}$$

In terms of Young diagrams

$$\square \otimes \square \otimes \square = \square \oplus 2 \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}$$

How do we account for this multiplicity?

## Technical slide 3/4: Schur-Weyl duality

For  $V_F^{\otimes n}$ , an  $n$ -tensor products of the fundamental of  $U(K)$ , there are **two** commuting group actions:

- ▶  $U(K)$ : the action of  $U(K)$  on its fundamental rep
- ▶  $S_n$ : permutes the  $n$  different copies of  $V_F$



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So organise  $V_F^{\otimes n}$  in terms of representations of the two groups:

$$V_F^{\otimes n} \equiv \overbrace{\square \otimes \square \otimes \cdots \otimes \square}^n = \bigoplus_{\Lambda} V_{\Lambda}^{U(K)} \otimes V_{\Lambda}^{S_n}$$

where  $\Lambda$  runs over Young diagrams with  $n$  boxes and at most  $K$  rows.

[To answer question:  $\dim V_{\begin{smallmatrix} \square & \square \\ \square \end{smallmatrix}}^{S_3} = 2.$  ]

## Technical slide 4/4: Clebsch-Gordan coefficients

We can express this map from  $V_F^{\otimes n}$  to reps of  $U(K)$  and  $S_n$  using Clebsch-Gordan coefficients  $C$ .

$$C : \quad V_F^{\otimes n} \quad \rightarrow \quad V_{\Lambda}^{U(K)} \otimes V_{\Lambda}^{S_n}$$

$$C_{\Lambda, M_{\Lambda}, m_{\Lambda}}^{i_1 i_2 \dots i_n} \quad W_{i_1} \otimes W_{i_2} \otimes \dots \otimes W_{i_n} \quad = \quad |\Lambda, M_{\Lambda}, m_{\Lambda}\rangle$$

- ▶  $i_k = \{1, 2, \dots, K\}$  (for  $U(2)$ ,  $W_1 = X$ ,  $W_2 = Y$ )
- ▶  $M_{\Lambda}$  labels  $U(K)$  state in  $V_{\Lambda}^{U(K)}$
- ▶  $m_{\Lambda}$  labels  $S_n$  state in  $V_{\Lambda}^{S_n}$
- ▶ Clebsch map is invertible

## The solution: use C-G coefficients

Consider operators with  $n$  fields, a generic example being

$$(W_{a_1})_{j_1}^{i_1} (W_{a_2})_{j_2}^{i_2} \cdots (W_{a_n})_{j_n}^{i_n}$$

where  $\{W_a\}$  are the fields of a subsector  $G \subset PSU(2, 2|4)$ .

Combine indices into rep of  $G \times S_n$  and two of  $U(N) \times S_n$

$$\begin{aligned} & |\Lambda(G), M_\Lambda, m_\Lambda\rangle \otimes |R(U(N)), M_R, m_R\rangle \otimes |\bar{S}(U(N)), M_S, m_S\rangle \\ &= C_{\Lambda(G), M_\Lambda, m_\Lambda}^{a_1 \dots a_n} C_{R(U(N)), M_R, m_R}^{i_1 \dots i_n} C_{\bar{S}(U(N)), M_S, m_S}^{j_1 \dots j_n} (W_{a_1})_{j_1}^{i_1} \cdots (W_{a_n})_{j_n}^{i_n} \end{aligned}$$

- ▶ Enforce gauge invariance: pick singlet  $\mathbf{1} \in R \otimes \bar{S}$   
(implies  $R = S$ , sum over  $M_R = M_S$ )
- ▶ Impose overall  $S_n$  invariance

# Simplest example: Half BPS Schur polynomials

For the  $U(1)$  sector we only have one field:  $X$ . Thus we get

$$\begin{aligned} \sum_{M_R, m_R} C_{R(U(N)), M_R, m_R}^{i_1 \dots i_n} C_{\bar{R}(U(N)), M_R, m_R}^{j_1 \dots j_n} X_{j_1}^{i_1} \otimes \dots \otimes X_{j_n}^{i_n} \\ = \frac{1}{n!} \sum_{\alpha \in S_n} \chi_R(\alpha) X_{i_{\alpha(1)}}^{i_1} X_{i_{\alpha(2)}}^{i_2} \dots X_{i_{\alpha(n)}}^{i_n} \\ \equiv \chi_R(X) \end{aligned}$$

- ▶  $U(N)$  rep  $R$  organises multi-trace structure (cf. Wilson loop).
- ▶ Encode finite  $N$  **stringy exclusion principle**, since reps of  $U(N)$  have at most  $N$  rows.
- ▶ For  $n \sim N$  map to **giant gravitons**, in general to **LLM-type geometries**.
- ▶ Can gain qualitative understanding of black hole microstates.

# Diagonal Schur polynomials

Diagonal 2-point function

$$\langle \chi_R(X(x)) \chi_S(X^\dagger(y)) \rangle = \delta_{RS} \text{Dim}_N R \frac{1}{(x-y)^{2n}}$$

$\text{Dim}_N R$  is the  $U(N)$  dimension of  $R$ . It captures the  $N$  expansion, e.g.

$$\text{Dim}_N \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \\ \hline \square & & \\ \hline \end{array} = \frac{N^2(N+1)(N+2)(N-1)N(N-2)}{45}$$

The half-BPS sector is not renormalised, so this holds for all values of the coupling  $\lambda$ . This will not be true in general...

# Subsectors

We can do this classification for the following sub-sectors  $G \subset PSU(2, 2|4)$  of the global superconformal symmetry group (and product groups  $G_1 \times G_2$ ) :

$$\text{half BPS } U(1) : \{W_m\} = \{X\}$$

$$U(3) : \{W_m\} = \{X, Y, Z\}$$

$$U(3|2) : \{W_m\} = \{X, Y, Z; \psi_1, \psi_2\}$$

$$O(2) : \{W_m\} = \{X, X^\dagger\}$$

$$SL(2) : \{W_m\} = \{X, \partial X, \partial^2 X, \partial^3 X, \dots\}$$

$$SO(2, 4) : \{W_m\} = \{X, \partial_\mu X, \partial_\mu \partial_\nu X, \dots\}$$

# Operator for general $G$

rep and state of  $G$

$$\mathcal{O}[\overbrace{\Lambda(G), M_\Lambda, R(U(N))}, \tau]$$

$R$  of  $U(N)$  gives multi-trace structure (multiplicity)

- ▶ **Complete** basis on space of multi-trace operators at finite  $N$  built out of fundamental fields of  $G$ .
- ▶ **Free** 2-point function totally **diagonal** on all labels, proportional to  $\text{Dim}_N R$ .
- ▶ Operators given in detail for  $G = U(3), SL(2), O(2), SO(2, 4)$ , prescription given for  $SO(6)$ .
- ▶ For  $SL(2)$ , in regime of large quantum numbers, spectrum of our basis matches excitations of (non-BPS) giant gravitons.

## One loop

At one loop this basis is no longer diagonal. Operators mix and we must rediagonalise. Multiplets also re-organise in a highly non-trivial way. Take for example the  $U(2)$  sector,  $\Lambda = \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline \end{array}$ .

The  $\frac{1}{4}$ -BPS operators, which are protected, are in 1-to-1 correspondence with the chiral ring and receive  $\frac{1}{N}$  corrections, e.g.

$$\text{tr}(XX) \text{tr}(YY) - \text{tr}(XY) \text{tr}(XY) - \frac{1}{N} \text{tr}([X, Y][X, Y])$$

Some operators are no longer protected and join long multiplets

$$\text{tr}([X, Y][X, Y]) \quad \Delta = 4 + \frac{3\lambda}{4\pi^2} + \mathcal{O}(\lambda^2)$$

(This becomes a descendant of the Konishi.)



## Constrained mixing at one loop

Analyse mixing with one-loop **dilatation operator**, e.g.  $U(2)$  sector

$$: \text{tr}([X, Y][\tilde{X}, \tilde{Y}]) :$$

$\tilde{X} \sim \frac{\partial}{\partial X}$ . This gives matrix of anomalous dimensions.

The  $U(N)$  representations, controlling multi-trace structure, then **only** mix if related by repositioning a **single** box.

$$R = \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \times & \square \\ \hline \square & \square & & \\ \hline \end{array}$$

mixes with

$$S = \begin{array}{|c|c|c|c|c|} \hline \square & \square & \square & \square & \times \\ \hline \square & \square & & & \\ \hline \square & \square & & & \\ \hline \end{array}$$

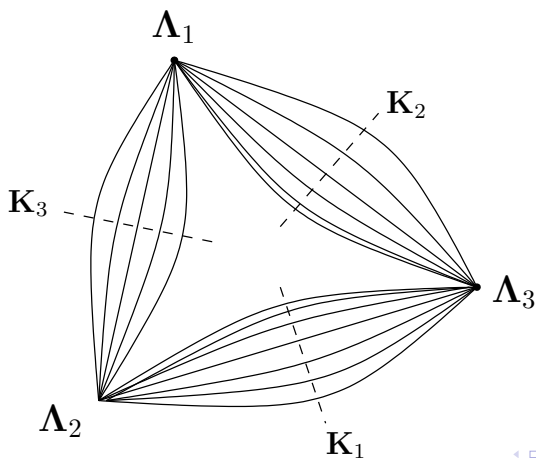
but **not** with

$$T = \begin{array}{|c|c|c|c|c|c|} \hline \square & \square & \square & \square & \square & \square \\ \hline \square & & & & & \\ \hline \square & & & & & \\ \hline \square & & & & & \\ \hline \square & & & & & \\ \hline \end{array}$$

## Free three-point function

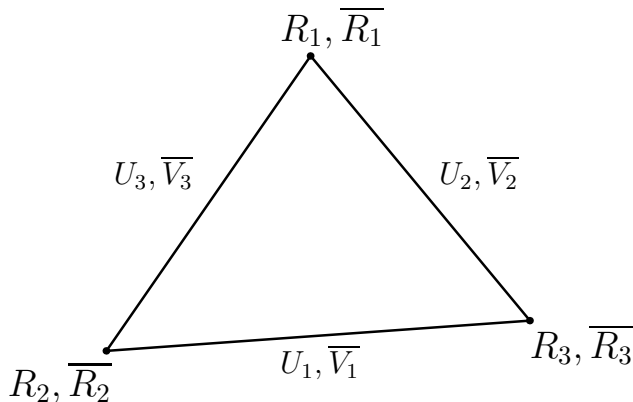
We can also use this formalism to work out the free non-extremal three-point function

$$\langle \mathcal{O}[\Lambda_1, R_1](x_1) \mathcal{O}[\Lambda_2, R_2](x_2) \mathcal{O}[\Lambda_3, R_3](x_3) \rangle$$



## Three-point gauge spin network

On the legs between the operators the gauge group representations need not form a singlet. The three-point function becomes a  $G \times U(N)$  spin network.



# Conclusions

- ▶ For sectors  $G$  of  $\mathcal{N} = 4$  global symmetry group multi-trace operators organised into a **complete basis** that **transforms in irreps of  $G$** , traces organised by  $U(N)$  irreps.
- ▶ This basis **diagonalises** the free two-point function, including all finite  $N$  corrections.
- ▶ One-loop mixing nicely constrained.
- ▶ Higher-point functions in free theory form  $G \times U(N)$  **spin networks**. (Free theory  $\sim$  finite  $N$  tensionless 'string'.)
- ▶ Focus in future:
  - ▶ Extend to full  $PSU(2, 2|4)$  symmetry group.
  - ▶ Diagonalise spectrum at 1-loop.
  - ▶ Sixteenth-BPS states: how do they furnish black hole entropy?
  - ▶ Understand information loss.
  - ▶ **What is string theory?**